



# กองทุนคุ้มครองผู้ลงทุนในหลักทรัพย์ Securities Investor Protection Fund

## Regulations

### Re: Use of Logo of the Securities Investor Protection Fund

Whereas, the Stock Exchange of Thailand has established the Securities Investor Protection Fund or SIPF (the “Fund”), and a number of members of the Exchange have already applied for membership of the Fund. Since the fund members are entitled to use the logo of the Fund for the purpose of public relations of their business within the scope prescribed by the Exchange, the Exchange deems it expedient to prescribe these Regulations in order to stipulate the rules regarding the use of logo of the Fund for observance by the fund members.

1. Only the fund members shall have the right to use the logo of the Fund.
2. The logo of the Fund shall be used for the following purposes:
  - (1) to allow the fund members’ investors and other investors in general to know the scope of protection given to the fund members’ investors in accordance with the rules and conditions prescribed by the Exchange; and
  - (2) to prevent the investors from a misunderstanding about the protection from the Fund other than that in accordance with rules and conditions prescribed by the Exchange.
3. The fund members shall display or use the Fund’s logo in the following manners:

|     |                    |     |                    |
|-----|--------------------|-----|--------------------|
| (1) |                    | (2) |                    |
| (3) | <br>company's logo | (4) | company's logo<br> |
|     |                    |     |                    |

/ ข้อ 4.....

4. The fund members shall not alter the design, font or colour of the Fund's logo.

The fund members may change the size of the Fund's logo as suitable for the size of material on which it will be displayed or affixed.

5. The Fund's logo may appear in written, visual or audio form.

6. The fund members shall use the Fund's logo in their business of securities brokerage or agent only.

7. The display of the Fund's logo at the business premises of the fund members shall mean to include the branches or sub-branches or other premises where they operate the business of securities brokerage or agent as well.

8. The fund members shall neither display the Fund's logo nor permit any person to use it in a manner which misleads the investors that the investors of the Exchange's members or other financial institutions that are not the fund members will also enjoy protection from the Fund.

Samples of the acts that may mislead the investors that any member of the Exchange or other financial institution that is not a fund member is a fund member are the placement of the Fund's logo near the name of the Exchange's member or other financial institution that is not a fund member, the inclusion of the Fund's logo in a consolidated financial statement which consists of information of its parent company or subsidiary company etc.

9. The fund members must display the Fund's logo at a place accessible by and clearly noticeable to investors in general, such as on the entrance door, on the piece of material on the counter, or similar surface close to their name.

10. The fund members must be responsible for expenses related to their display or use of the Fund's logo.

Securities Investor Protection Fund  
The Stock Exchange of Thailand  
October 1, 2004