

<b>Details of the automated order checking system via Direct Market Access (DMA)</b>
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1. Having Automated Pre-trade Risk Management that the company can configure parameters as follows

1.1 Risk management control of credit/exposure limit

Details : \_\_\_\_\_

\_\_\_\_\_  
Example of the test results (Screen display) of the company's risk management control:

1.2 Risk management control of maximum value per order size

Details: \_\_\_\_\_

\_\_\_\_\_  
Example of the test results (Screen display) of the company's risk management control:

1.3 Risk management control of maximum volume per order size

Details: \_\_\_\_\_

Example of the test results (Screen display) of the company's risk management control:

1.4 Risk management control of order price

Details: \_\_\_\_\_

Example of the test results (Screen display) of the company's risk management control:

1.5 Others risk management control conditions (if any)

Details: \_\_\_\_\_  
\_\_\_\_\_

Example of the test results (Screen display) of the company's risk management control:

2. Having a kill switch function which can stop all new order processes and / or auto-cancel the unmatched orders. The company has the authority to perform such functions.

Details: \_\_\_\_\_  
\_\_\_\_\_

Example of the test results (Screen display) of using a kill switch function