

**NOTIFICATION OF THE BOARD OF GOVERNORS  
OF THE STOCK EXCHANGE OF THAILAND**

**Re : Schedule of Fees for Listing of Investment Units of Mutual Funds, 2001**

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By virtue of Clause 12 of the Regulations of the Stock Exchange of Thailand Re: The Listing of Investment Units as Listed Securities, 1999 dated December 9, 1999, the Stock Exchange of Thailand hereby prescribes the following requirements:

1. This Notification shall come into force on July 1, 2001.
2. Fees for listing of investment units of mutual funds as listed securities shall be in accordance with the rate as specified in the schedule attached hereto.
3. A mutual fund managed by a securities company shall pay annual fees in advance for the following year. The period from 1 July of a year until 30 June of the following year shall be regarded as a fee year. In case the investment units of mutual funds which are listed securities for the remaining period of one fee year, the fee year shall be calculated as the period of listed securities

The initial payment of the annual fees shall be calculated from the aggregate value of the investment units on the date of filing of the listing application. The annual fee in the year following the first year shall be calculated from the average value of the investment units on the date of filing of the listing application and the last day of the first annual fee period. For the subsequent year, the fees shall be calculated from the average value of the investment units as of the first day of the annual fee period and the last day of the annual fee period in the preceding year.

Notified on this 16th day of January 2001.

(Signed) Amaret Sila-on

(Mr. Amaret Sila-on)  
Chairman of the Board  
The Stock Exchange of Thailand

**Schedule of Fees for Listing of Investment Units of Mutual Funds**

| Category   | Rate of Fee   |
|--|---|
| 1. Application fee                                       | - Baht 30,000.  |
| 2. Initial listing fee                                   | - 0.025% of the total value of the investment units, with the minimum fee of not less than Baht 50,000 and the maximum fee of not exceeding Baht 100,000. |
| 3. Annual fee  | - 0.025% of the value of the investment units, with the minimum fee of not less than Baht 50,000 and maximum fee of not exceeding Baht 100,000.           |
| *4. Fee for the increase of amount of registered capital | - Exempted  |

(\*Added March 18, 2002)