

**NOTIFICATION OF THE STOCK EXCHANGE OF THAILAND**

**Re : Rules and Procedures for the Preparation of a List of Persons  
Who Own Securities Deposited with the Exchange**

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By virtue of Section 225 of the Securities and Exchange Act B.E. 2535 (A.D. 1992), the Stock Exchange of Thailand hereby issues the following regulations:

**1.** The list of persons who own securities which are deposited with the Exchange under Section 225 of the Securities and Exchange B.E. 2535 (A.D. 1992) must contain the following particulars:-

- (1) name, family name, and address of the securities owners;
- (2) occupation and nationality of the securities owners;
- (3) name of the securities and the number of deposited securities.

**2.** A securities depositor who opens a securities deposit account with the Exchange shall prepare a name list under Clause 1 containing correct and complete particulars on the next business day for the Exchange to examine at all times.

**3.** This Notification shall come into force on the 14th day of August 1992.

Notified on this 13th day of August 1992.

(Signed) Seri Chintanaseri

(Mr. Seri Chintanaseri)  
President