

**“ประชุมสมาชิกศูนย์รับฝากหลักทรัพย์และ
สมาชิกสำนักหักบัญชี ครั้งที่ 1/2557”**

**วันพุธที่ 29 มกราคม 2557 เวลา 10.00 – 12.00 น.
ณ ห้องประชุม Auditorium อาคารทิสโก้ทาวเวอร์**

วาระการประชุม

แผนงานบริการหลังการขาย

Back office module









- Account opening & Trading
- Trade registration and Amendment
- Pre-Settlement Simulation and Settlement
- Depository functions : Transfer, Deposit, Withdraw
- Corporate action: auto-sweep entitlement list

แผนงานบริการหลังการขาย

Objective

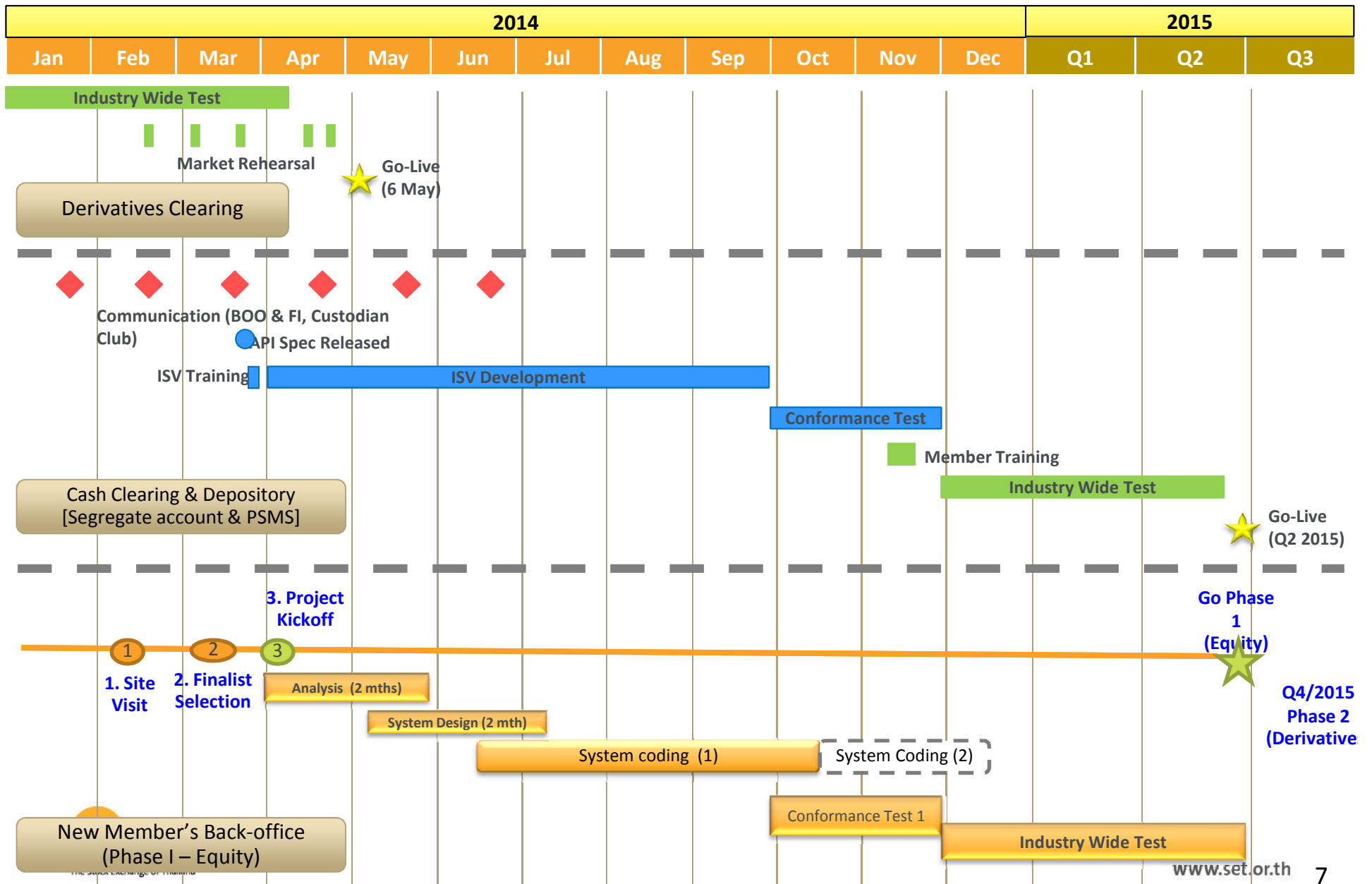
- **Enhance efficiency and straight-through processing**
- **Reduce human intervention and operation burden between double work in member back office and PTI**
- **Speed-up book closing process**
- **To be in line with international market practices by increasing more transparency and more automated processing.**
- **Able to support multi-market and multi-currency products**

Post-trade Plans

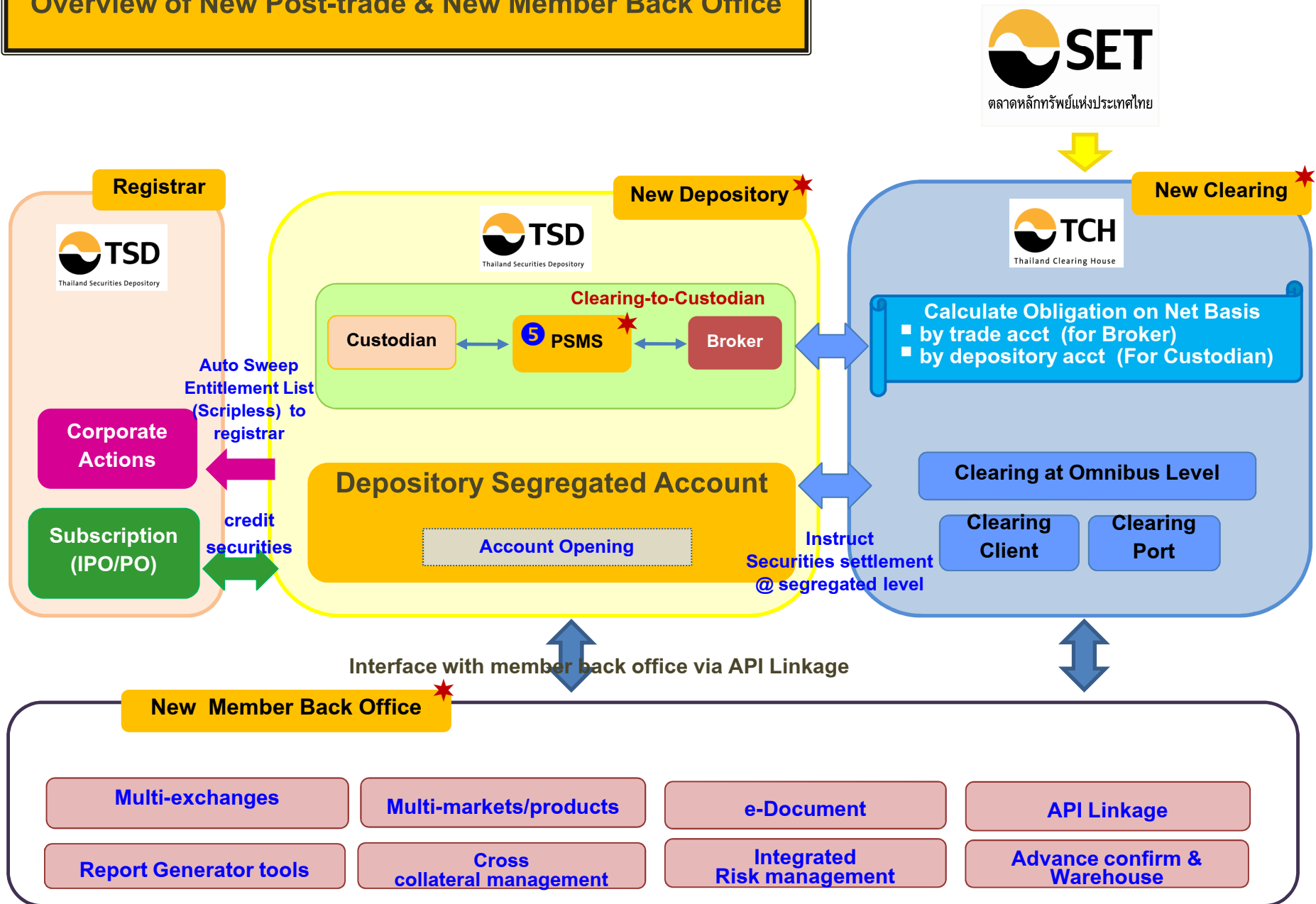
	2014 Q1	Q2	Q3	Q4	2015Q1	Q2
1. New clearing systems:						
1.1 Phase 1 - derivatives clearing system						
1.2 Phase 2 - equity clearing system						
2. New depository system (depository accounts segregation & PSMS)						
3. New member back office system						
3.1 phase 1 – equity						
3.2 phase 2 – derivatives						 Q4,2015
4. Support member outbound investment						
4.1 ASEAN post-trade link	 July 2014					
4.2 Outbound investment (outside ASEAN)	 Q3, 2014					
5. Fund settlement business	 Business model by Q1, 2014					

Back-office Module

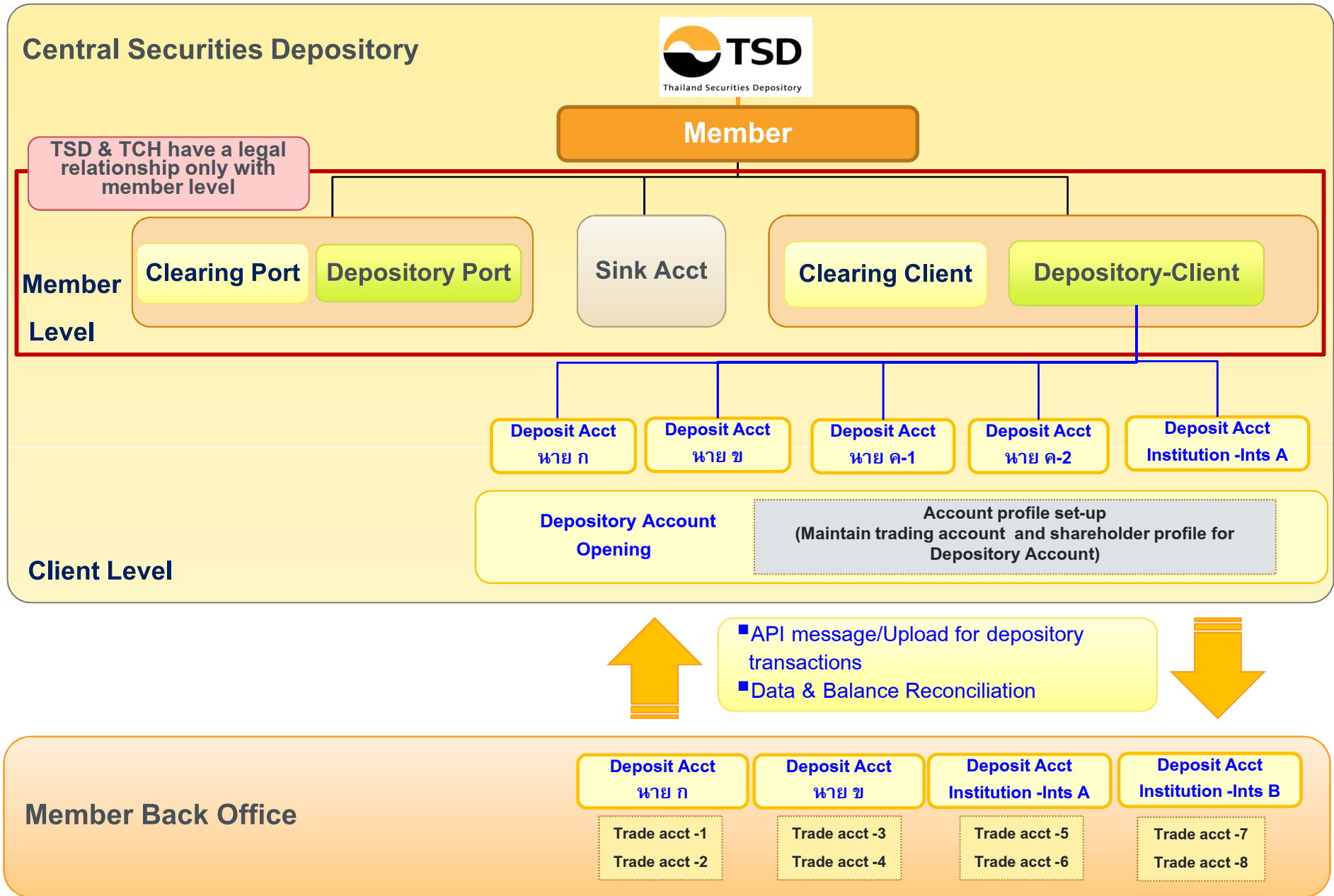
Overall Project Timeline



Overview of New Post-trade & New Member Back Office

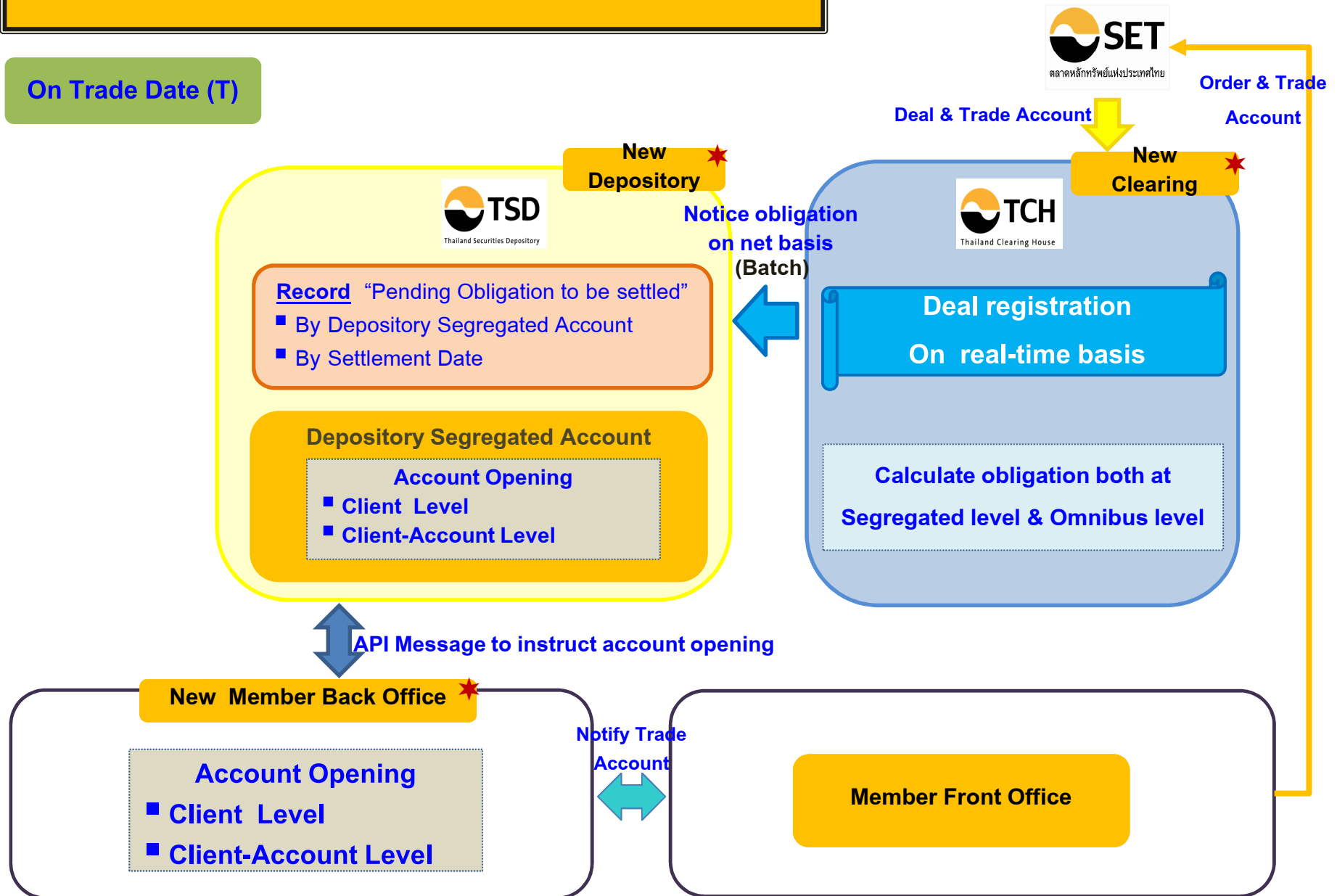


Segregated Depository Account Structure



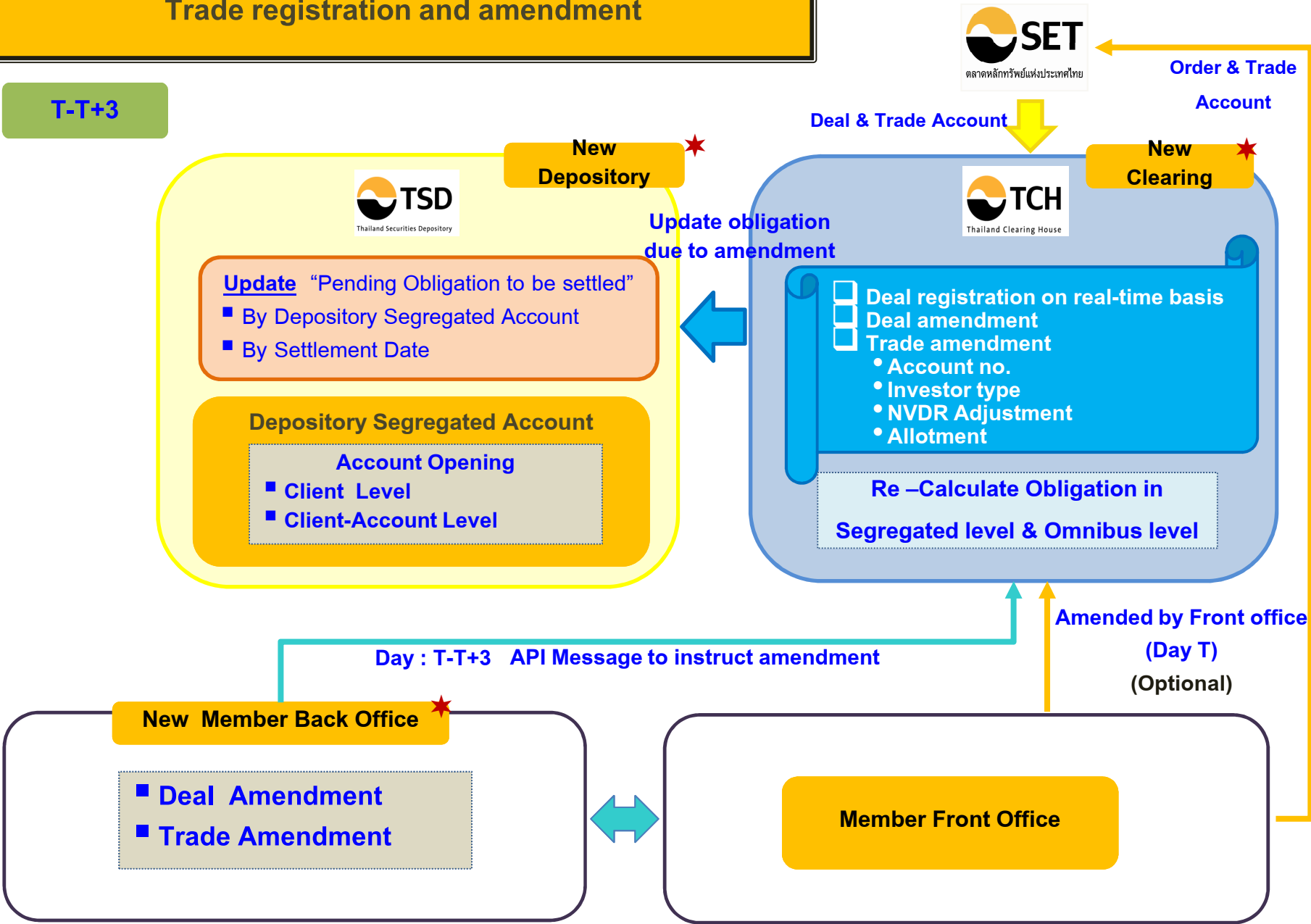
Account Opening & Trading

On Trade Date (T)



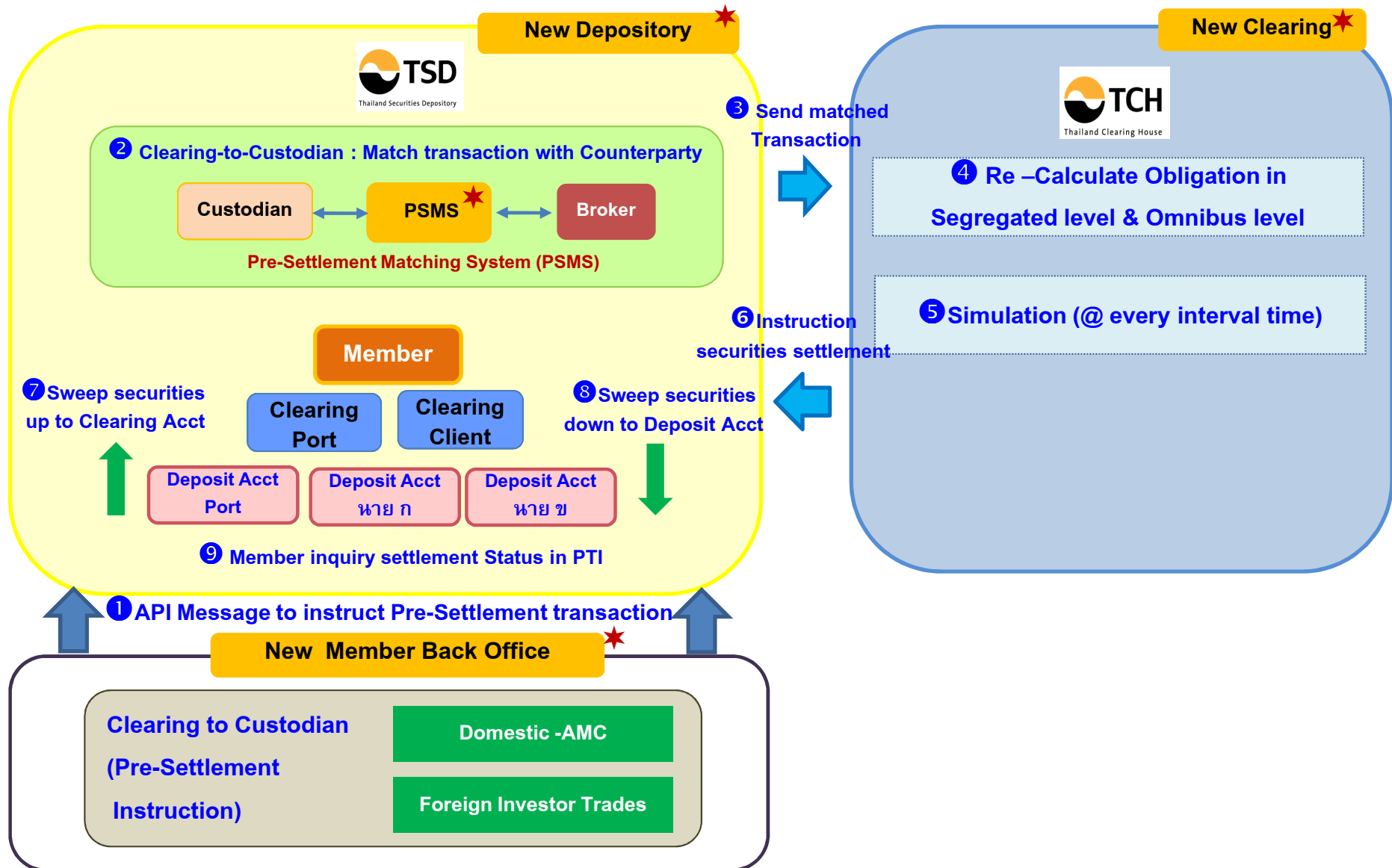
Trade registration and amendment

T-T+3

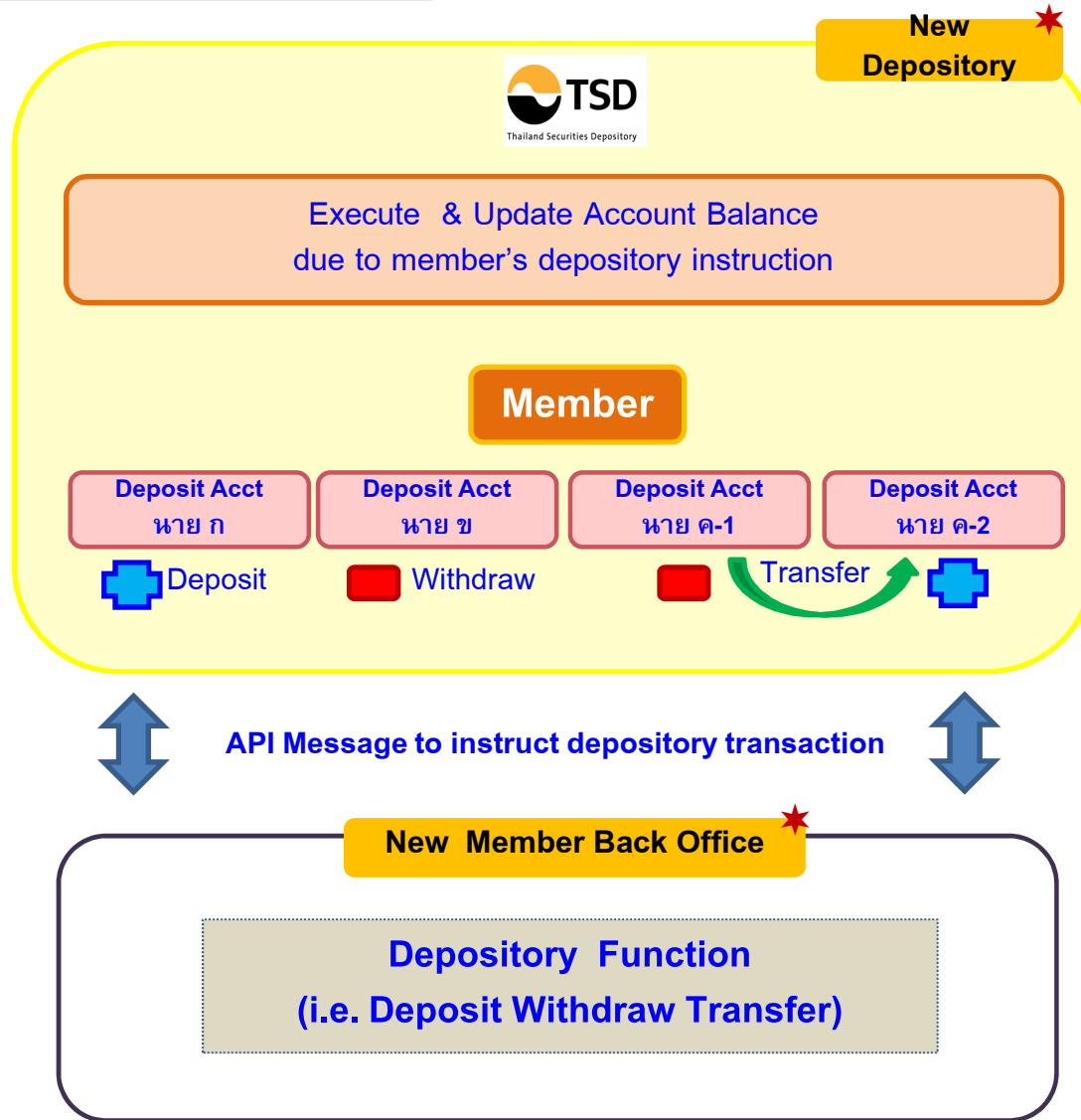


Pre-Settlement Simulation and Settlement

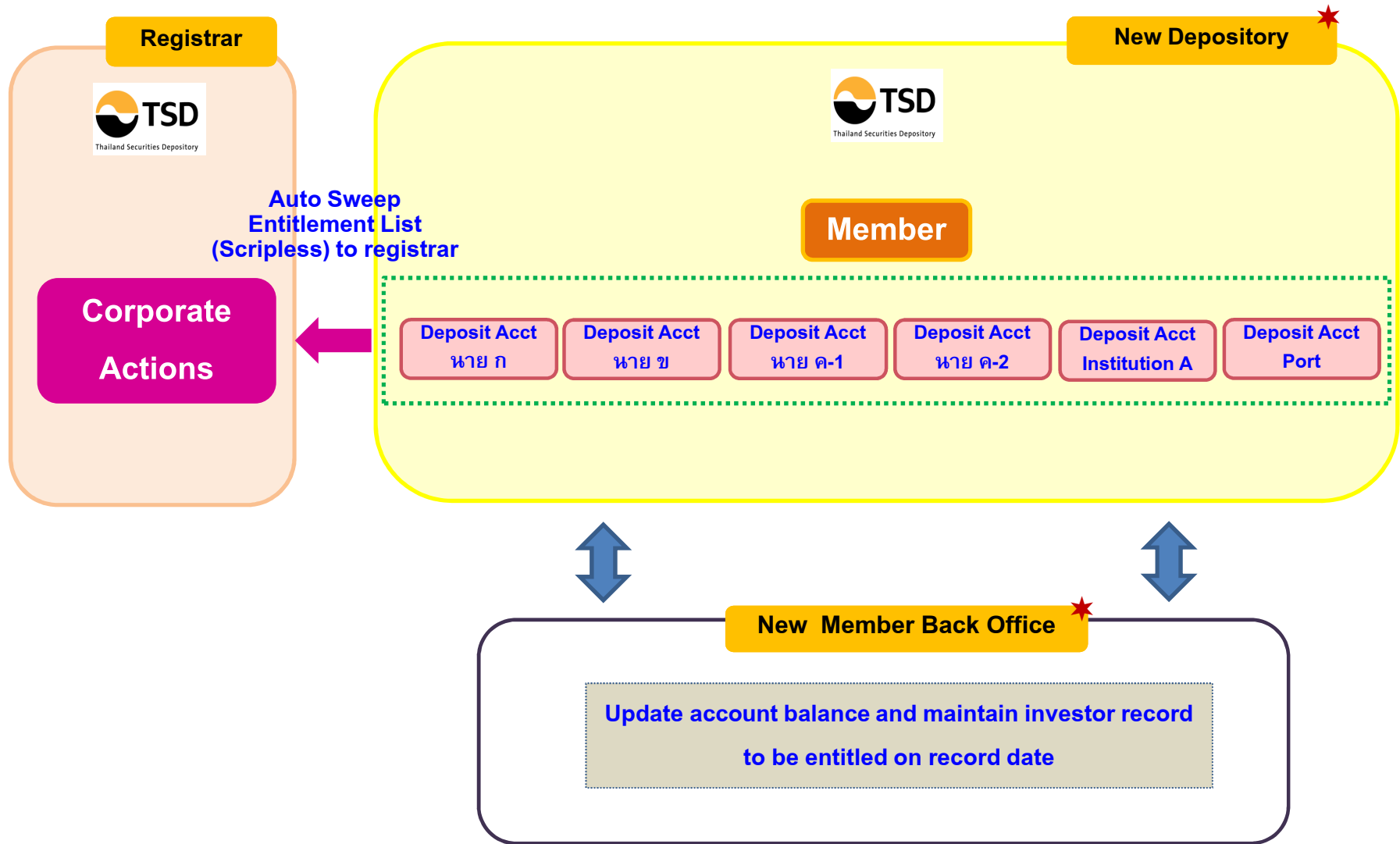
T-T+3



Depository function



Corporate action



Market Consultation and Communication

Milestones	Schedule (tentative)	Main Activities
CEO/ COO's Meeting Group Kick-Off session	29 January 2014	<ul style="list-style-type: none"> • Kick-off the project and communication with market participants
CIO & ISV	Monthly	<ul style="list-style-type: none"> • Communicate project progress & timeline • Communicate changes & information related to systems
BOO & Custodian Club	Monthly	<ul style="list-style-type: none"> • Communicate project progress & timeline • Consult & hearing business practices or issues
TSD & TCH Members	Monthly	<ul style="list-style-type: none"> • Communicate project progress & timeline • Rules and Regulations
Settlement Banks & Bank of Thailand	Quarterly	<ul style="list-style-type: none"> • Communicate project progress & timeline

ติดต่อสอบถามเพิ่มเติม

Issue	Contact person	Tel	E-mail
Clearing	• Kanyarat Sriaroon	02-229-2929	SETNewClearing@set.or.th
	• Kitipat Chantararivongs	02-220-2669	
Depository	• Pornpreeya Vatthanasuk	02-229-2938-46	Depositoryprojectteam@set.or.th
	• Wimol Plubplasri		
PSMS	• Yukolthorn Sakdadsathaporn	02-229-2882,2886	Depositoryprojectteam@set.or.th
	• Nawarat Panichpiboon	02-229-2939	
Member back-office	• Suwannee Tantirojanawong	02-229-2288	memberbackoffice@set.or.th
	• Rachatasorn Khaosam-Ang	02-229-2808	

Thank you