

Headline: List of securities which fulfilled the market surveillance criteria

Security Symbol: CGD, HPT, MAI, MORE, NCL, SET, UPA, UREKA

Announcement Details

Level 1 : Cash Balance			
Security	Symbol	Start date	End date
COUNTRY GROUP DEVELOPMENT PUBLIC COMPANY LIMITED	CGD	13-Sep-2021	21-Oct-2021
Warrant of COUNTRY GROUP DEVELOPMENT PUBLIC COMPANY LIMITED No. 5	CGD-W5	13-Sep-2021	21-Oct-2021
HOME POTTERY PUBLIC COMPANY LIMITED	HPT	13-Sep-2021	21-Oct-2021
UNITED POWER OF ASIA PUBLIC COMPANY LIMITED	UPA	13-Sep-2021	21-Oct-2021
Extended Period			
MORE RETURN PUBLIC COMPANY LIMITED	MORE	13-Sep-2021	01-Oct-2021
Warrant of MORE RETURN PUBLIC COMPANY LIMITED No. 2	MORE-W2	13-Sep-2021	01-Oct-2021
EUREKA DESIGN PUBLIC COMPANY LIMITED	UREKA	13-Sep-2021	01-Oct-2021
Warrant of EUREKA DESIGN PUBLIC COMPANY LIMITED No. 2	UREKA-W2	13-Sep-2021	01-Oct-2021
Level 2 : Excluded from credit limit and Cash Balance			
Security	Symbol	Start date	End date
NCL INTERNATIONAL LOGISTICS PUBLIC COMPANY LIMITED	NCL	13-Sep-2021	21-Oct-2021
Extended Period			
-	-	-	-
Level 3 : Prohibit Net settlement, Excluded from credit limit and Cash Balance			
Security	Symbol	Start date	End date
-	-	-	-
Extended Period			
-	-	-	-

Remark:

1. Cash balance means each member must ensure that its customers pay the full amount in cash prior to trading.
2. Excluded from credit limit means the member must not use the security as collateral in the calculation of

the customer's credit line in all types of account.

3. Prohibit Net Settlement means the member must not offset the trading value of buy amount and sell amount of a certain security on a given day. (The amount received from sale of the particular security will be credited back on the following day.)

Please note that the main factors used as criteria for the restricted securities above are the turnover ratio, the trading value and the price not corresponding with the fundamental factors such as P/E ratio, etc.