



No. ASN.0031/2021

April 7, 2021

Subject: Notification of the resolutions for 2021 Annual General Meeting of Shareholders
To: President
The Stock Exchange of Thailand

ASN Broker Public Company Limited ("The Company") would like to inform you that the Annual General Meeting of Shareholders held on April 7, 2021, 10.00 am, at Morakot Room, 3rd Floor, The Emerald Hotel, No. 99/1 Ratchadaphisek Road, Din Daeng Sub-District, Din Daeng District, Bangkok, has passed the resolutions listed below.

1. Adopted the Minutes of the Annual General Meeting of Shareholders 2020 held on June 30, 2020.

The meeting approved this item on the agenda by a majority vote of the shareholders who attended and cast their votes. The total votes were cast as follows:

Approved	126,972,420 votes, equivalent to 100.0000%
Disapproved	0 vote, equivalent to 0.0000%
Abstained	0 vote
Void ballots	0 vote

2. Acknowledged the Company's performance for the year 2020.

3. Approved the financial statements for the year ended December 31, 2020.

The meeting approved this item on the agenda by a majority vote of the shareholders who attended and cast their votes. The total votes were cast as follows:

Approved	127,045,003 votes, equivalent to 99.9999%
Disapproved	117 vote, equivalent to 0.0001%
Abstained	0 vote
Void ballots	0 vote

4. Approved of the appropriation of net profit to statutory reserve and pay dividends for the operating results of the year 2020 and from retain earnings in the form of dividend shares and cash and determined the distribution of dividend, as follows:

- 1) Appropriation of net profit to statutory reserve of THB 320,000
- 2) To pay dividend by ordinary shares not exceeding 31,199,996 shares at par value of Baht 0.50 per share at the ratio of 5 current shares per 1 dividend share, or not exceeding Baht 15,599,998

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388 อาคารไอบีเอ็ม ชั้น 16 บี ถนนพหลโยธิน แขวงสามเสนใน เขตพญาไท กรุงเทพมหานคร 10400 โทรศัพท์ 0-2494-8377
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representing dividend payout of Baht 0.10 per share. In case that any shareholders hold the indivisible share remaining after the allotment, the dividend shall be paid by cash in the amount of Baht 0.10 per share.

- 3) Distribution of dividend in cash at the rate of the THB 0.011111111111 per share or in the amount of cash no more than THB 1,733,333.13. In this regard, Clause 2 Clause 3 together is the distribution of dividend at the rate of THB 0.111111111111 per share or calculated in the amount of cash of no more than THB 17,333,331.33, by which all dividend will be subject to withholding tax at the rate determined by law.

The meeting approved this item on the agenda by a majority vote of the shareholders who attended and cast their votes. The total votes were cast as follows:

Approved	127,045,120 votes, equivalent to	100.0000%
Disapproved	0 vote, equivalent to	0.0000%
Abstained	0 vote	
Void ballots	0 vote	

5. Approved of the decrease the registered capital of Baht 9, divided in to 18 shares with a par value of Baht 0.50 per share, resulting from Bath 78,000,000 to Baht 77,999,991 by deducting the registered ordinary shares remaining from the allocation of stock dividend according to the resolution of the Annual General Meeting of Shareholders for the year 2020 of 18 shares with a par value of Baht 0.50 and amendment of Clause 4 of the Memorandum of Association of the Company to be in line with the reduction of the registered capital.

The meeting approved this item on the agenda by votes of not less than three-fourths of the shareholders who attended and have the rights to cast their votes. The total votes were cast as follows:

Approved	127,045,120 votes, equivalent to	100.0000%
Disapproved	0 vote, equivalent to	0.0000%
Abstained	0 vote, equivalent to	0.0000%
Void ballots	0 vote, equivalent to	0.0000%

6. Approved the increase of the Company's registered capital in the amount of Baht 15,599,998 from the current registered capital of Baht 77,999,991 to the new registered capital of Baht 93,599,989 by issuing 31,199,996 new ordinary shares at par value of Baht 0.50 per share, and to consider and approve the amendment to Article 4 of the Company's Memorandum of Association regarding the registered capital to reflect the increase of the Company's registered capital.

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The meeting approved this item on the agenda by votes of not less than three-fourths of the shareholders who attended and have the rights to cast their votes. The total votes were cast as follows:

Approved	127,045,120 votes, equivalent to	100.0000%
Disapproved	0 vote, equivalent to	0.0000%
Abstained	0 vote, equivalent to	0.0000%
Void ballots	0 vote, equivalent to	0.0000%

7. Approved the allotment of 31,199,996 new ordinary shares with a par value of Baht 0.50 to accommodate the stock dividend payment.

The meeting approved this item on the agenda by a majority vote of the shareholders who attended and cast their votes. The total votes were cast as follows:

Approved	127,045,003 votes, equivalent to	99.9999%
Disapproved	117 vote, equivalent to	0.0001%
Abstained	0 vote	
Void ballots	0 vote	

8. Approved the reappointment of the following directors who were due to retire by rotation:

- 1) Mr.Sudusit Ounsangchan
- 2) Mr.Thawatchai Lertrunguang

The meeting approved this item on the agenda by a majority vote of the shareholders who attended and cast their votes. The total votes were cast as follows:

Name	Approved	Disapproved	Abstained	Void ballots
Mr.Sudusit Ounsangchan	127,045,120 votes,	100 vote,	0 vote	0 vote
	equivalent to 100.0000 %	equivalent to 0.0000 %		
Mr.ThawatchaiLertrunguang	127,045,120 votes,	0 vote,	0 vote	0 vote
	equivalent to 100.0000 %	equivalent to 0.0000 %		

9. Approved the remuneration for the Company's Board of Directors for 2021 as monthly retainer as follow:

Chairman of the Board of Director	monthly rate	36,300 baht
Chairman of the Audit Committee	monthly rate	29,040 baht
Audit Committee	monthly rate	24,200 baht
Director	monthly rate	24,200 baht

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- Directors that serve as Company's management are not eligible for the monthly retainer as those directors are compensated as Company's management position.
- Directors that serves as Chairman is compensated as Chairman position in all committees that Director serves.
- For Director and Independent Director, they are compensated as Director position only even though they also serve in the Sub-Committee.

The meeting approved this item on the agenda by votes of not less than two-thirds of the shareholders who attended and have the rights to cast their votes. The total votes were cast as follows:

Approved	127,045,120 votes, equivalent to	100.0000%
Disapproved	0 vote, equivalent to	0.0000%
Abstained	0 vote, equivalent to	0.0000%
Void ballots	0 vote, equivalent to	0.0000%

10. Approved the appointment of the Company's external auditor and fixed the audit fees for the year 2021. The name list of auditors is as follow:

- 1) Miss.Krongkaew Limkittikul CPA (Thailand) No. 5874
- 2) Mr.Supachai Phanyawattano CPA (Thailand) No. 3930
- 3) Mr.Natthawut Santipet . CPA (Thailand) No. 5730

Any of the above auditors can conduct the audit and express an opinion on the Company's financial statements. The audit fees for the company and subsidiaries in 2021 shall not exceed 2.63 million baht, which is equal to the year 2020.

The meeting approved this item on the agenda by a majority vote of the shareholders who attended and cast their votes. The total votes were cast as follows:

Approved	127,045,120 votes, equivalent to	100.0000%
Disapproved	0 vote, equivalent to	0.0000%
Abstained	0 vote	
Void ballots	0 vote	

11. Other Agenda Item

-Please be informed accordingly.

Sincerely yours,

-Signed-

(Mr. Thawatchai Lertrungruang)

Chief Executive Officer

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