



BD. 372/2017

October 27, 2017

RE: Notification of amendment of the Mutual Fund Scheme of ThaiDex SET50 Exchange Traded Fund (TDEX)

To: The President  
 The Stock Exchange of Thailand

One Asset Management Limited, the Management Company of ThaiDex SET50 Exchange Traded Fund (TDEX) would like to inform about the amendment of the fund scheme in accordance with the notification of the Securities and Exchange Commission with regards to the approval of the Office of the Securities and Exchange Commission (SEC) on October 16, 2017. The amendment details are as follows:

Subject	Existing	New	Notification of SEC
1. Definition	- None -	Net exposure means net investment value in both direct and indirect investment in instruments or contracts that provide return based on underlying assets which cause the fund risk in that assets.	Sor Nor. 22/2560 dated March 15, 2017
2. Investment in investment units (concentration limit)	<u>Not exceeding 25%</u> of the total amount of investment units of the fund or foreign investment units that issued the unit.	<u>Not exceeding 1 in 3</u> of the total amount of investment units of the fund or foreign investment units that issued the unit.	Thor Nor. 38/2560 dated May 12, 2017
3. Actions required in case the investment asset is not qualified or the investment is not in line with the investment ratio	The Management Company shall submit a report to the SEC and the fund supervisor <u>within the next business day following the date of completion of such period.</u>	The Management Company shall submit a report to the SEC and the fund supervisor <u>within 3 business days from the date of completion of such period.</u>	Thor Nor. 38/2560 dated May 12, 2017
4. Fees and expenses charged to the fund and subscribers or unitholders	Fees are in <u>exclusive</u> of VAT, special business tax or other similar taxes.	Fees are <u>inclusive</u> of VAT, special business tax or other similar taxes.	Kor Lor Tor. Nor Jor. (Wor) 7/2560 dated January 31, 2017
5. Change in fees or expenses	- not specified -	In order to increase fees or expenses as specified in the fund scheme, the Management Company shall disclose such change thoroughly to the investors with proper method within 3 business days from the date of such change and notify the Office of the SEC within 15 days from the date of such change.	Sor Nor. 22/2560 dated March 15, 2017

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Subject	Existing	New	Notification of SEC
8. Actions taken by the Management Company in case of fund dissolution	The Management Company shall inform the unitholders by registered post and notify the Office of the SEC in writing within 3 business days from the following day after the fund dissolution occurs.	The Management Company shall inform the unitholders by registered post and notify <u>the fund supervisor</u> and the Office of the SEC in writing within 3 business days from the fund dissolution occurs.	Sor Nor. 22/2560 dated March 15, 2017

In this regard, the amendment of the fund scheme shall take effect from November 1, 2017 onwards.

Please be informed accordingly. Should you have any queries or require any further information, please contact Customer Services and Marketing Support Department at (66) 2659-8888 ext. 1 on every working day.

Yours truly,



Pote Harinasuta  
Chief Executive Officer

