

ISV application form

Part I: Information List

Date		
Official company name (English/Thai)		
Business name (if any)		
Company address		
Company Website URL		
Contact person	Name & Title :	
	Phone no:	Email:
Products/services you will provide to Exchange participants		
Potential Customers in Thai Market (if any)		
Service Model	<input type="checkbox"/> ISV	<input type="checkbox"/> ASP
Agree to display your company name on SET website	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Company profile		
Date of incorporation		
Place of incorporation		
Core business		
Describe your company past experiences in software development and/or customer services including the reference sites and exchange name e.g. Broker AA connect to SET since 1 Jan 2019	Product/Service:	
	Customer type and the reference sites:	
	Please specify the name of the Exchange(s) which you have been certified as ISV or ASP:	

Financial information

Paid-up capital _____

Number of employees and IT staffs

Number of employees : _____
 Number of IT staffs : _____

IT support during SET/TFEX trading hours

Name : _____
 Phone : _____
 Email : _____

Management team and IT profile

Management team

Name	Position	No. of experience in services related to trading system (years)

IT staff

Name	Position	No. of experience in services related to trading system (years)

Attachment (all documents required)	1. The copy of certificate of incorporation 2. Latest Financial statement/Annual report 3. Organizational structure 4. Profile and experience of key management team and key IT staff 5. Systems Architecture 6. Implementation Plan <input type="checkbox"/> Others.....
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Remark: An application service provider (ASP) is defined as a software vendor that delivers application functionality and associated services across a network to multiple customers.

Part II: System Application Information

Front Office System

Market	<input type="checkbox"/> Equity Market	<input type="checkbox"/> Derivatives Market
Apply for System Connections and Protocol for implementation	<input type="checkbox"/> Trading (SET CONNECT) <ul style="list-style-type: none"> <input type="radio"/> Normal trading <input type="radio"/> Trade report (block trade) <input type="radio"/> Market making <u>Protocol</u> <ul style="list-style-type: none"> <input type="radio"/> EMAPI <input type="radio"/> FIX <input type="checkbox"/> Market data (SET FEED) <u>Protocol</u> <ul style="list-style-type: none"> <input type="radio"/> EMAPI <input type="radio"/> FIX <input type="checkbox"/> FIX Drop Copy	<input type="checkbox"/> Trading (SET CONNECT) <ul style="list-style-type: none"> <input type="radio"/> Normal trading <input type="radio"/> Trade report (block trade) <input type="radio"/> Market making <u>Protocol</u> <ul style="list-style-type: none"> <input type="radio"/> EMAPI <input type="radio"/> FIX <input type="checkbox"/> Market data (SET FEED) <u>Protocol</u> <ul style="list-style-type: none"> <input type="radio"/> EMAPI <input type="radio"/> FIX <input type="checkbox"/> FIX Drop Copy <input type="checkbox"/> Clearing (SET CLEAR) <u>Protocol</u> <ul style="list-style-type: none"> <input type="checkbox"/> FIXML
Software Name		
OMS Software Version		
Gateway Software Version		
Platform		

Back Office System

Market	<input type="checkbox"/> Equity Market	<input type="checkbox"/> Derivatives Market
Apply for System Connections	<input type="checkbox"/> Clearing (SET CLEAR) <input type="checkbox"/> Pre-settlement matching (PSMS) <input type="checkbox"/> Depository (CSD)	<input type="checkbox"/> Clearing (SET CLEAR)
Software Name		
Software Version		
Gateway Software Version		
Platform		

We hereby certify that the above information are correct accordingly.

Company Seal (If any)	Signature _____
	Printed Name (_____)
	Title _____
	Date _____

For more information, please contact ISV_Access_Support@set.or.th