

(UNOFFICIAL TRANSLATION)

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Contents

Regulations

Chapter 200: Definitions

| | Page |
|------------------------|-------------|
| 201 Definitions | 200-1 |

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Regulations

Chapter 200: Definitions

201 Definitions

“SET” means the Stock Exchange of Thailand.

*“SEC notification” means notifications of the Securities and Exchange Commission or notifications of the Capital Market Supervisory Board or notifications of the Office of the Securities and Exchange Commission.

*(*Amended April 5, 2013, Force on April 9, 2013)*

*“Company” means a limited company, public limited company, other juristic persons which issue securities, listed company which is juristic person incorporated under a foreign law, trustee, or persons with duty to prepare securities registry under SEC notifications.

*(*Amended April 5, 2013, Force on April 9, 2013)*

“Registrar” means Thailand Securities Depository Co. Ltd.

*“Foreign Registrar” means a person who acts as registrar for securities of listed company, incorporated under foreign laws which are located in foreign countries

*(*Amended July 28, 2010)*

“Securities Holder” means a holder of securities for which the Registrar agrees to be the securities registrar.

“Register” means the register of Securities Holders.

“Securities” means securities under the law governing securities and exchange.

“Special Project Investment Units” means the investment units in a mutual fund established for the purpose of investing in preferred shares, subordinated debentures, or other securities with similar rights and issued by the same securities issuer as prescribed by the Registrar.

“Investment Units” means other investment units than Special Project Investment Units.

*“Trust unit” means a trust certificate which sets out the right of the holder as the beneficiary under the trust in accordance with the rules specified in SEC notifications concerning trusts for investment.

*(*Amended May 7, 2015, Force on May 16, 2015)*

*“Depository Receipts” means the foreign securities depository receipts in accordance with the rules specified in SEC notifications.

*(*Added May 7, 2015, Force on May 16, 2015)*
