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Regulation of the Stock Exchange of Thailand

re: Repeal of Rules on Trading B.E. 2555 (2012)

By virtue of section 170 paragraph two (8), (9) and (12) of the Securities and Exchange Act B.E. 2535 (1992), the Board of Governors of the Stock Exchange of Thailand hereby issues the following regulation:

Clause 1 This Regulation shall come into force on the date on and from 3 September 2012 Onwards. **Effective Date**

Clause 2 The following shall be repeal: **Repeal**

- (1) Regulations of the Stock Exchange of Thailand re: Trading, Clearing and Settlement of Securities in the Exchange (No. 2) B.E. 2542 (1999), dated 31 August 1999;
- (2) Regulations of the Stock Exchange of Thailand re: Trading, Clearing and Settlement of Securities in the Exchange (No. 3) B.E. 2546 (2003), dated 13 November 2003;
- (3) Regulations of the Stock Exchange of Thailand re: Trading, Clearing and Settlement of Securities in the Exchange (No. 4) B.E. 2548 (2005), dated 13 September 2005;
- (4) Regulations of the Stock Exchange of Thailand re: Trading, Clearing and Settlement of Securities in the Exchange (No. 5) B.E. 2550 (2007), dated 26 June 2007;
- (5) Regulations of the Stock Exchange of Thailand re: Trading, Clearing and Settlement of Securities in the Exchange (No. 6) B.E. 2551 (2008), dated 18 November 2008;
- (6) Regulations of the Stock Exchange of Thailand re: Trading, Clearing and Settlement of Securities in the Exchange (No. 7) B.E. 2552 (2009), dated 30 April 2009;
- (7) Regulations of the Stock Exchange of Thailand re: Trading, Clearing and Settlement of Securities in the Exchange (No. 8) B.E. 2553 (2010), dated 12 April 2010;
- (8) Regulations of the Stock Exchange of Thailand re: Trading, Clearing and Settlement of Securities in the Exchange (No. 9) B.E. 2554 (2011), dated 16 March 2011;
- (9) Regulations of the Stock Exchange of Thailand re: Trading, Clearing and Settlement of Securities in the Exchange (No. 10) B.E. 2554 (2011), dated 15 June 2011;
- (10) Regulations of the Stock Exchange of Thailand re: Trading of Securities Through Internet B.E. 2554 (2011), dated 3 June 2011;
- (11) Regulations of the Stock Exchange of Thailand re: Securities Trading Through the DMA System B.E. 2550 (2007), dated 9 January 2007; and
- (12) Notifications of the Stock Exchange of Thailand issued under the regulations in (1) through (11).

(Unofficial Translation)

Clause 3 Orders or circulars of the Stock Exchange of Thailand issued under the **Transitory Provision** regulations of the Stock Exchange of Thailand under Clause 2 already enforced before the effective date of this Regulation shall continue to be effective until new rules, conditions or procedures are issued and enforced.

Clause 4 If any rules of the Exchange applies or refers to clauses or provisions in **Transitory Provision** regulations under Clause 2 mutatis mutandis, such use of or reference to the clauses or provisions shall mean use or reference of rules governing such matter under the Regulations of the Stock Exchange of Thailand re: Trading, Clearing and Settlement of Securities in the Exchange dated 16 July 2012 or Notification of the Stock Exchange of Thailand re: Procedures for Trading, Clearing and Settlement of Securities in the Exchange, date 16 July 2012 or Notification of the Stock Exchange of Thailand re: Persons Involved in the Trading System, dated 16 July 2012, as the case may be.

Notified on this 16th day of July 2012.

(Signed) Sompol Kiatpaiboon

(Mr.Sompol Kiatpaiboon)

Chairman of the Board

The Stock Exchange of Thailand

Reason for Promulgation: As the Exchange has adjusted the rules regarding trading, clearing and settlement on the Exchange in order to accommodate the new trading system, the Exchange deems it appropriate to adjust relevant regulations to ensure that they are consistent with those amended rules and has, therefore, issued this Regulation.