

REGULATIONS OF THE STOCK EXCHANGE OF THAILAND

Re: Measures in Case of Abnormality in the Trading of Securities on the Exchange, 2001

By virtue of the second paragraph (17) of Section 170 of the Securities and Exchange Act B.E. 2535 (A.D. 1992), the Board of Governors of the Stock Exchange of Thailand, with the approval of the Securities and Exchange Commission, hereby issues the following regulations:

1. These Regulations shall come into force on August 30, 2001.

2. In these Regulations:

“Exchange” means the Stock Exchange of Thailand;

“Board of Governors” means the Board of Governors of the Exchange;

“President” means the President of the Exchange;

“member” means a member of the Exchange;

“securities” means listed securities;

“trading order” means a purchase order or sale order for securities on the Exchange;

“trading” means the purchase or sale of securities on the Exchange;

“trading system” means the computer system used in the trading as provided by the Exchange.

“Cash balance account” means an account in which the customer places the full purchase price of securities with the member before trading securities, or having the terms which allows the member to debit the customer’s bank account for the total purchase price before trading securities, or to which the customer transfers payment for purchase of securities.

*(*Added May 21, 2008)*

***3.** When the Board of Governors is of view that the position of any trading securities on the Exchange is likely to cause adverse effect on the overall trading position due to a drastic change in the price or trading volume of such securities, or a high concentration of the trading in such securities, the Board of Governors is empowered to temporarily prohibit the members from short selling of securities where the securities must be borrowed for settlement purpose.

*(*Amended May 21, 2008)*

***3/1** If any securities have been entered on the turnover list, as announced by the Office of the Securities and Exchange Commission, and be of the particular nature determined by the Exchange, the member is bound to see that the client has effectuated

Members restrained from short selling

Members obliged to have client effectuate their trading transactions through the cash balance account

their trading transactions through the cash balance account on a temporary basis in accordance with the rules, conditions and procedures prescribed by the Exchange.

*(*Added May 21, 2008)*

4. When the Board of Governors is of the view that the trading of any securities on the Exchange has a movement or is in such a manner that could be considered as causing or being liable to cause a drastic change in the price or trading volume of such securities, or a high concentration of the trading in such securities, and that there are facts based on which it is conceivable that such trading movement or manner may result from the trading by any person or group of persons, the Board of Governors is empowered to temporarily prohibit any member or all the members from transmitting the trading orders for such securities of that person or group of persons into the trading system.

5. In case that the Board of Governors prohibits any member from transmitting the trading orders of any person or group of persons according to Clause 4 and such member still transmits a trading order of that person or group of persons into the trading system, the Board of Governors is empowered to temporarily prohibit the trading by such member.

6. The Board of Governors may delegate the President to make consideration and exercise power under Clause 3 and Clause 4 as considered appropriate. The President must comply with the guidelines approved by the Board of Governors.

Notified on this 29th day of August 2001.

(Signed) Chavalit Thanachanan

(Mr. Chavalit Thanachanan)
Chairman of the Board
The Stock Exchange of Thailand